

# Image Guided Radiation Therapy and Stereotactic Body Radiation Therapy for Lung Cancer



Kenneth Rosenzweig, MD  
Department of Radiation Oncology  
Mount Sinai School of Medicine  
September 20, 2010

# Small Problems

- Early stage tumors



# Options for Early Stage NSCLC

- Surgery
  - Wedge, Lobectomy, etc.
- Radiation Therapy
  - Conventional Radiation
  - Stereotactic Body Radiotherapy (SBRT)
- Radiofrequency Ablation

# Surgery for Early Stage NSCLC

- Local control ~90%
- 5-year survival 60 – 80%
- Mortality ~2%
- Morbidity ~10-20%

# High Dose Conventional RT

	No. patients	Median Survival (months)	5-year local control	5-year overall survival
Stage I/II	55	41	67%	36%

- 5% Grade 3+ acute pulmonary toxicity (2.5% grade 5)
- 7% Grade 3+ late pulmonary toxicity
  - 11% of long-term survivors on chronic oxygen

# Lung SBRT Experience

- Onishi, Japan (*Cancer* October, 2004)
- Retrospective multi-institutional study
- 273 patients with Stage I tumors
- Dose was 18 – 75 Gy in 1 – 22 fractions
  - BED ranged from 57 – 180 Gy
- Complication rate 2.4%
- Local failure in 12.5%
  - Improved in good PS patients receiving  $> 100$  Gy BED

	# patients	Median f/u (months)	Dose/fx	Grade 3 toxicity	Local Control	Survival
Kyoto	45	30	4x12 Gy	0	94% 3 yr	T1: 83% T2: 72%
Stanford	20	18	1x15-30 Gy	12.5%	92% 1 yr	85%
Aarhus, Denmark	40	29	3x15 Gy	NA	85% 2 yr	48% 2 yr
Indiana	70	18	3x20-22 Gy	20%	95% 2 yr	55% 2 yr
Hedielberg	42	15	1x19-30 Gy	NA	68% 3 yr	37% 3 yr
Tohoku	31	32	3x15 Gy 8x7,5 Gy	3.2%	T1: 78% T2: 40%	72% 3 yr
Karolinska (Sweden)	57*	23	3x15 Gy	21%	96%	65%
VU (Nether lands)	206*	12	3x20 Gy 8x7.5 Gy	3%	93% 2 yr	64% 2yr

**\* not all biopsy proven**

# RTOG 0236

---

 PRELIMINARY  
COMMUNICATION

---

## Stereotactic Body Radiation Therapy for Inoperable Early Stage Lung Cancer

---

Robert Timmerman, MD

Rebecca Paulus, BS

James Galvin, PhD

Jeffrey Michalski, MD

William Straube, PhD

Jeffrey Bradley, MD

Achilles Fakiris, MD

Andrea Bezjak, MD

Gregory Videtic, MD

David Johnstone, MD

Jack Fowler, PhD

Elizabeth Gore, MD

Hak Choy, MD

**Context** Patients with early stage but medically inoperable lung cancer have a poor rate of primary tumor control (30%-40%) and a high rate of mortality (3-year survival, 20%-35%) with current management.

**Objective** To evaluate the toxicity and efficacy of stereotactic body radiation therapy in a high-risk population of patients with early stage but medically inoperable lung cancer.

**Design, Setting, and Patients** Phase 2 North American multicenter study of patients aged 18 years or older with biopsy-proven peripheral T1-T2N0M0 non-small cell tumors (measuring <5 cm in diameter) and medical conditions precluding surgical treatment. The prescription dose was 18 Gy per fraction  $\times$  3 fractions (54 Gy total) with entire treatment lasting between 1½ and 2 weeks. The study opened May 26, 2004, and closed October 13, 2006; data were analyzed through August 31, 2009.

**Main Outcome Measures** The primary end point was 2-year actuarial primary tumor control; secondary end points were disease-free survival (ie, primary tumor, involved lobe, regional, and disseminated recurrence), treatment-related toxicity, and overall survival.

**Results** A total of 59 patients accrued, of which 55 were evaluable (44 patients with



# RTOG 0236 RT Specifications

- No additional margin for microscopic extension (i.e., no CTV)
- PTV margin was:
  - 5 mm axially
  - 10 mm craniocaudal
- 20 Gy x 3
  - 40 hours apart (max: 8 days)
- No tissue heterogeneity correction allowed
  - Later showed dose was closer to 18 Gy x 3

# Organ Tolerance Dose Limits for Radiation Therapy Oncology Group 0236

**Table 2.** Organ Tolerance Dose Limits for Radiation Therapy Oncology Group 0236<sup>a</sup>

Organ	Volume	Total Dose
Spinal cord	Any point	18 Gy maximum
Esophagus	Any point	27 Gy maximum
Ipsilateral brachial plexus	Any point	24 Gy maximum
Heart	Any point	30 Gy maximum
Trachea and ipsilateral bronchus	Any point	30 Gy maximum
Right and left lung	<10% of volume	20 Gy <sup>b</sup>

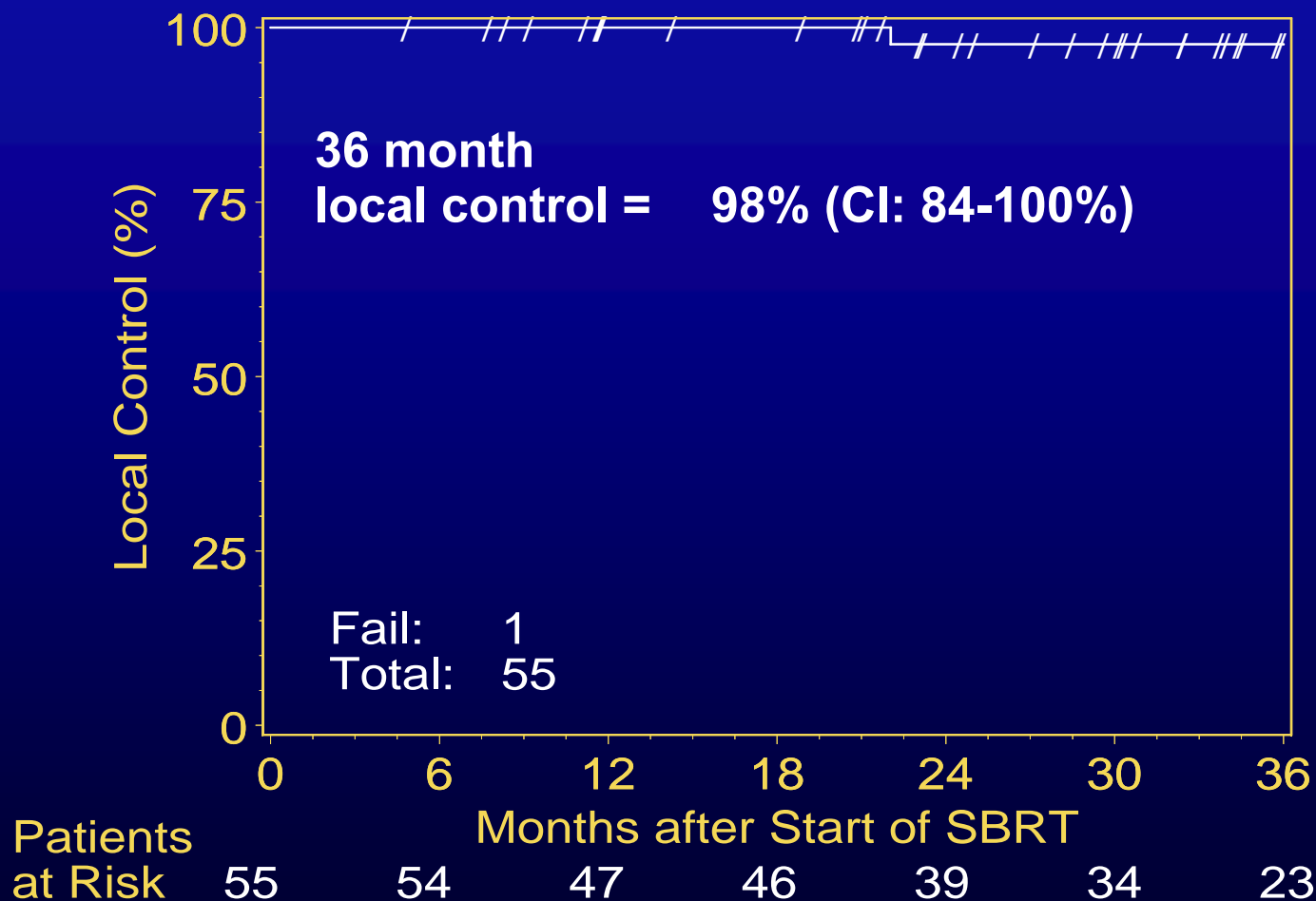
<sup>a</sup>Exceeding organ limits by more than 2.5% constituted a minor protocol violation and exceeding these organ limits by more than 5% constituted a major protocol violation.

<sup>b</sup>Also known as V-20 or volume of total lung getting 20 Gy or greater.

Timmerman, R. et al. JAMA 2010;303:1070-1076.

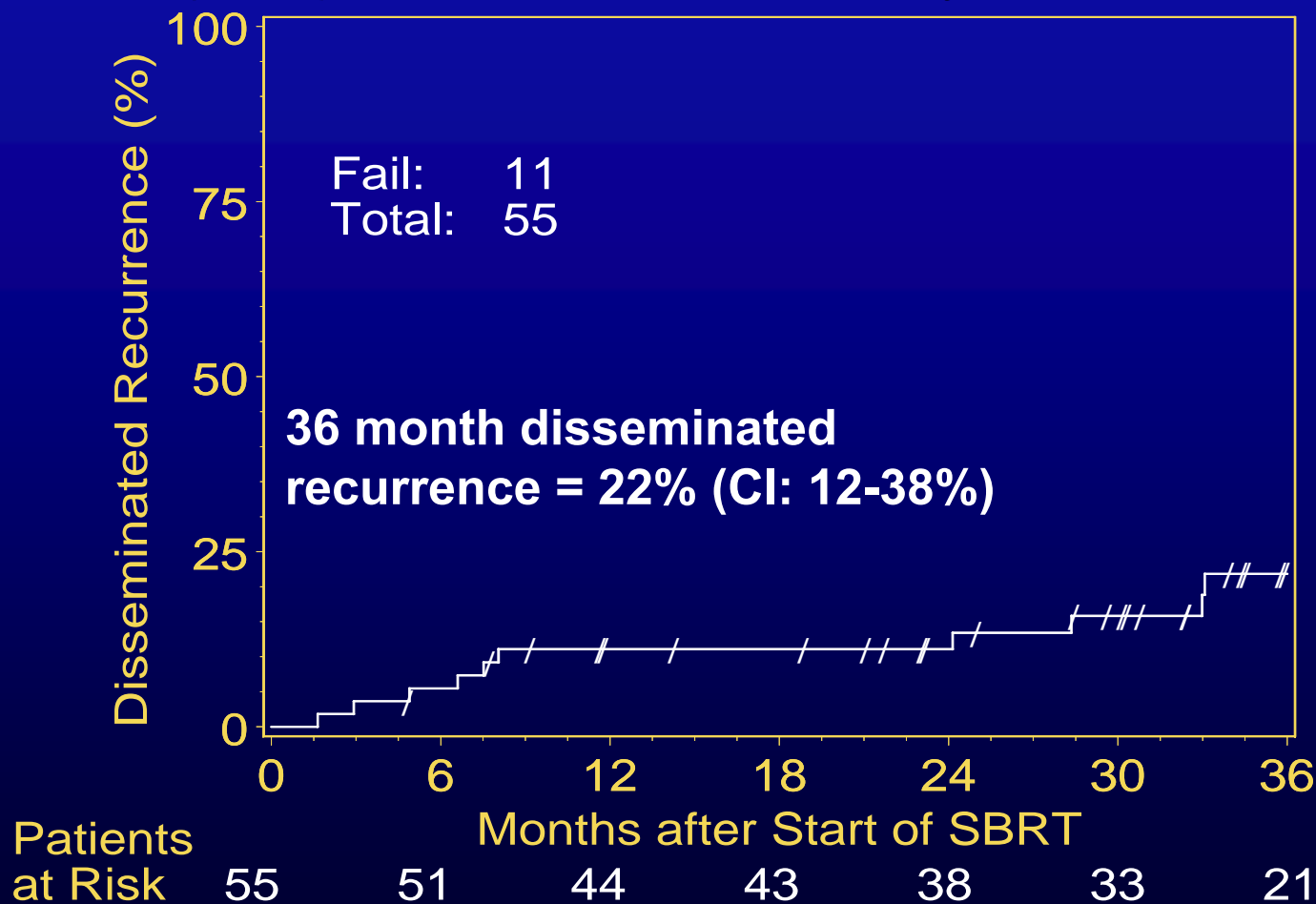
# Local Control

- 1 failure within PTV, 0 within 1 cm of PTV

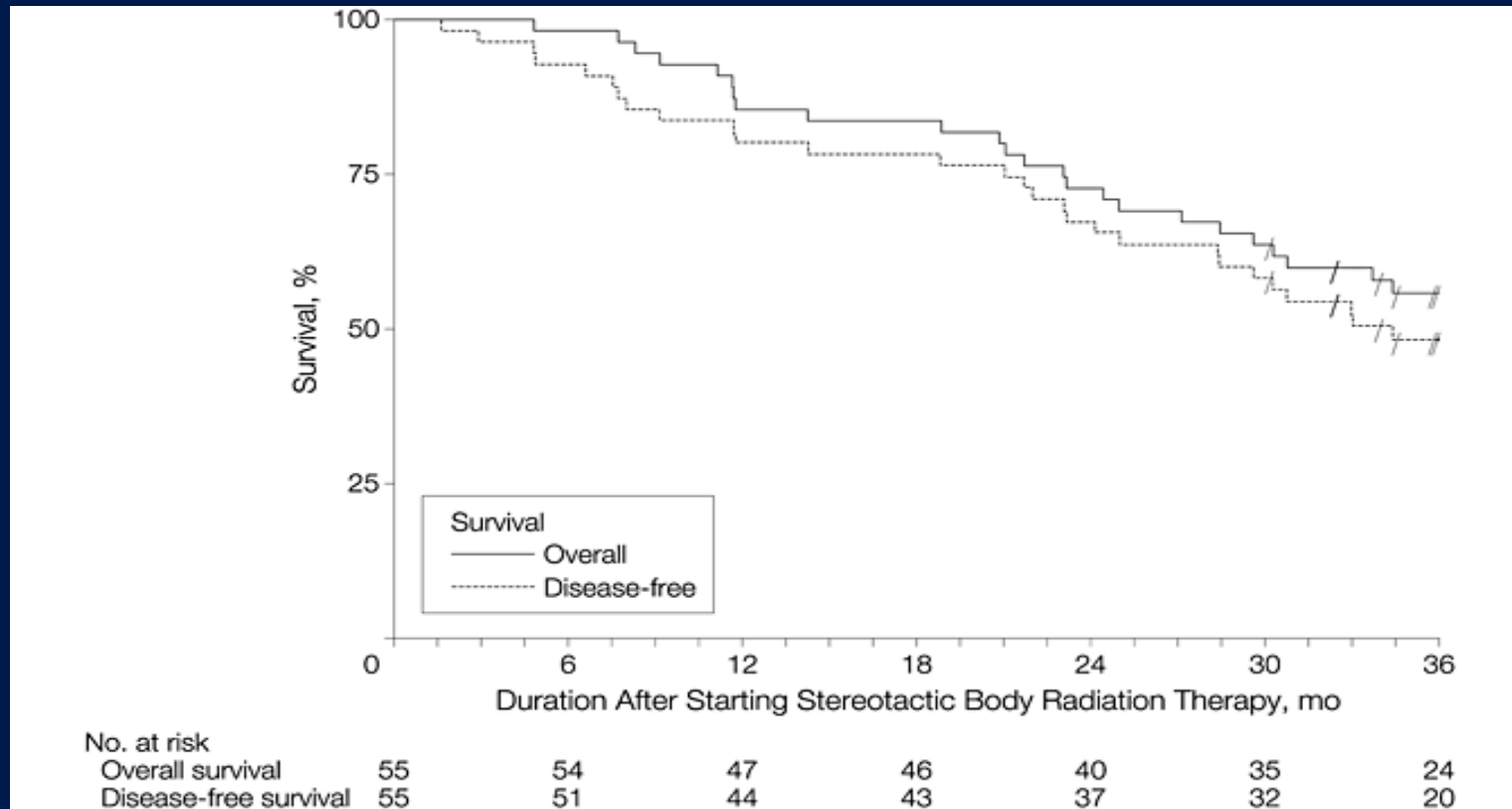


# Disseminated Recurrence

- 6 patients (11%) disseminated within 1 year of Rx



# RTOG 0236: Patient Course After Initiation of Stereotactic Body Radiation Therapy



Timmerman, R. et al. JAMA 2010;303:1070-1076.

# RTOG 0236: Adverse Events Related to Stereotactic Body Radiation Therapy

**Table 4.** Adverse Events Related to Stereotactic Body Radiation Therapy<sup>a</sup>

	No. of Patients by Tumor Grade (N = 55)					No. of First Evaluable Patients by Tumor Grade (n = 49)				
	1	2	3	4	5	1	2	3	4	5
Blood or bone marrow	3	1	2	0	0	3	1	2	0	0
Cardiovascular	1	1	0	0	0	1	1	0	0	0
Coagulation	1	0	1	0	0	1	0	1	0	0
Constitutional symptoms	11	8	1	0	0	11	7	1	0	0
Dermatology or skin	3	2	2	0	0	3	1	2	0	0
Gastrointestinal tract	4	1	1	0	0	4	1	1	0	0
Hemorrhage or bleeding	0	2	0	0	0	0	2	0	0	0
Infection	0	1	2	0	0	0	1	2	0	0
Lymphatics	2	0	0	0	0	2	0	0	0	0
Metabolic or laboratory	2	1	1	1	0	2	1	1	1	0
Musculoskeletal or soft tissue	3	5	3	0	0	3	3	3	0	0
Neurology	3	2	1	0	0	3	2	1	0	0
Pain	5	9	0	0	0	5	6	0	0	0
Pulmonary or upper respiratory tract	11	13	8	1	0	11	11	8	1	0
Renal or genitourinary	1	0	0	0	0	1	0	0	0	0
Most severe, No. (%)										
Nonhematologic	13 (24)	17 (31)	13 (24)	2 (4)	0	13 (27)	14 (29)	13 (27)	2 (4)	0
Overall	13 (24)	17 (31)	13 (24)	2 (4)	0	13 (27)	14 (29)	13 (27)	2 (4)	0

<sup>a</sup>Includes adverse events in which relationship to treatment was missing.

Timmerman, R. et al. JAMA 2010;303:1070-1076.

# Protocol-Specified Adverse Events Related to Stereotactic Body Radiation Therapy

**Table 5.** Protocol-Specified Adverse Events Related to Stereotactic Body Radiation Therapy<sup>a</sup>

Adverse Event	Patients by Tumor Grade					
	All (N = 55)			First Evaluable (n = 49)		
	3	4	5	3	4	5
FEV <sub>1</sub>	2	0	0	2	0	0
Hypocalcemia	0	1	0	0	1	0
Hypoxia	2	0	0	2	0	0
Pneumonitis NOS	2	0	0	2	0	0
Pulmonary function test decreased NOS	3	1	0	3	1	0
Maximum for protocol, No. (%)	7 (13)	2 (4)	0	7 (14)	2 (4)	0

Abbreviations: FEV<sub>1</sub>, forced expiratory volume in the first second of expiration; NOS, not otherwise specified.

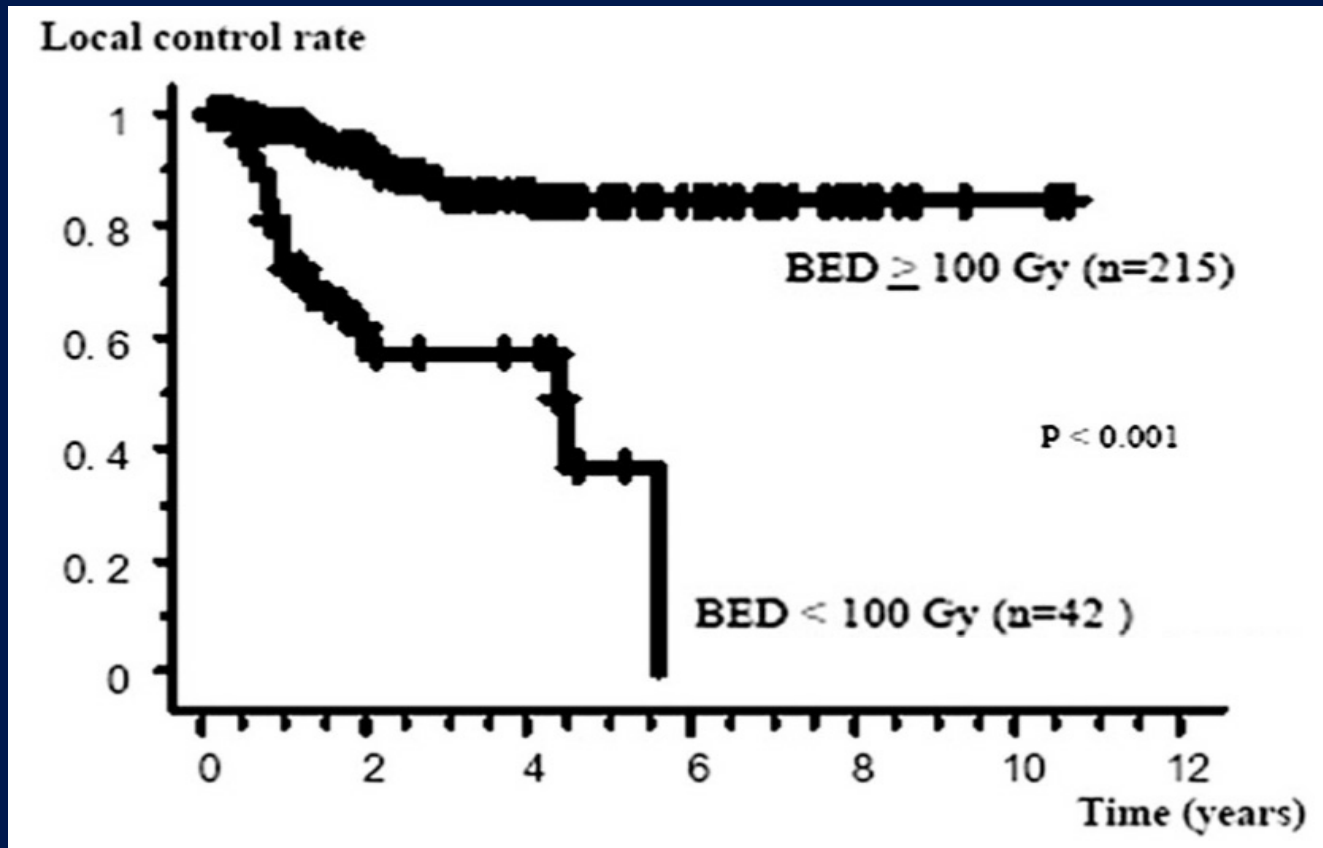
<sup>a</sup>Includes adverse events in which relationship to treatment was missing.

# Rationale of High Dose per Fraction RT

- By radiobiologic principles, the higher dose per fraction, the greater the damage to the tumor (and normal structures)
  - Biologic equivalent dose (BED)
- $BED = nd (1 + d/(\alpha/\beta))$
- So assuming  $\alpha/\beta = 10$ , then 20 Gy x 3 is equivalent to 180 Gy given in conventional fractionation

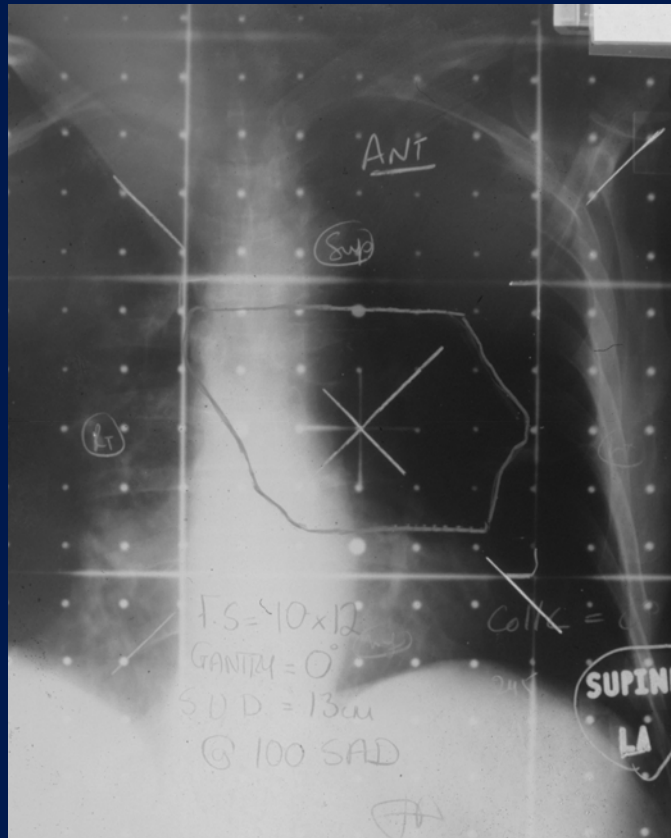


# Results and BED



•Onishi, et al., *J Thor Onc*, 2007

# Typical Verification Film



# Techniques for IGRT Imaging

- Two dimensional imaging
  - Fluoroscopy-type imaging, Cyber Knife
  - Usually need fiducial marker (gold seed)
- Mega Voltage Cone Beam Imaging
  - Uses the treatment machine as a CT scan
- Kilo Voltage Cone Beam Imaging
  - Adds an extra machine to the treatment machine that functions as a CT scanner

# Varian kV Imaging system (OBI)

- kV source, kV detector, and MV detector all mounted on robotic arms



# Cyber Knife



# Technique for Lung SBRT

- Simulation day
  - Advanced patient immobilization
  - 4D Treatment planning CT
  - Consider PET scan for tumor delineation
- Treatment Planning
  - Five days
- Treatment day(s)
  - Advanced patient immobilization
  - Image guidance
  - Patient adjustment
  - Re-image
  - Treat

# Immobilization

<i>Institution</i>	<i>SBRT</i>
Beaumont	hybrid $\alpha$ -cradle with BodyFix
MSKCC	$\alpha$ -cradle
UT Southwestern	body frame
Washington U	body frame or BodyFix

# 4D Planning CT

<i>Institution</i>	<i>SBRT</i>
Beaumont	10 phases
MSKCC	10 phases
UT Southwestern	10 phases
Washington U	MIP



# PET Fusion

<i>Institution</i>	<i>SBRT</i>
Beaumont	100%
MSKCC	0%
UT Southwestern	sometimes
Washington U	rarely

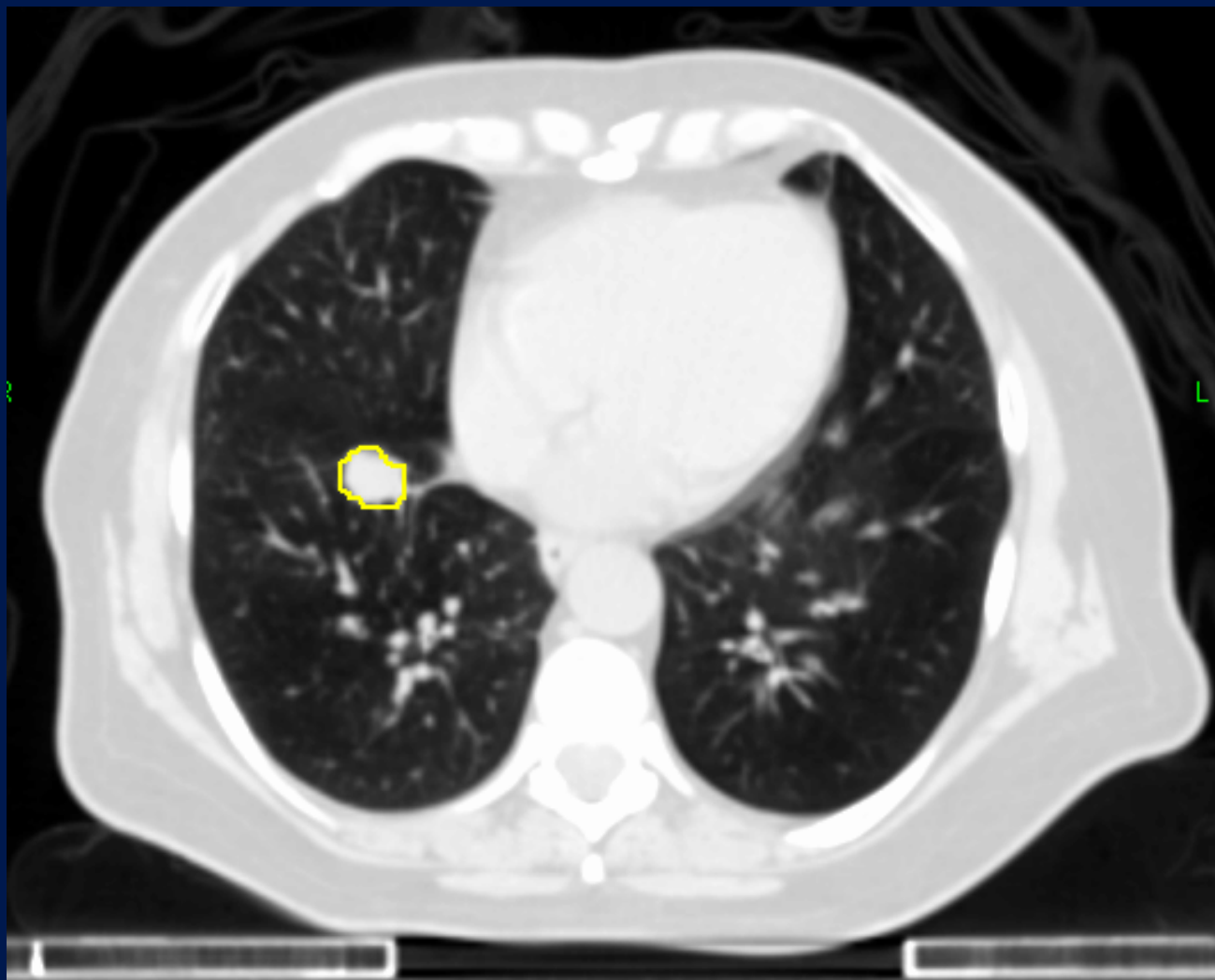
# Determining Tumor Volumes

- GTV – gross tumor volume
- ITV – internal target volume
  - Accounts for tumor motion
- CTV – clinical target volume
  - Accounts for microscopic extension
- PTV – planning target volume
  - Accounts for set-up error, etc.

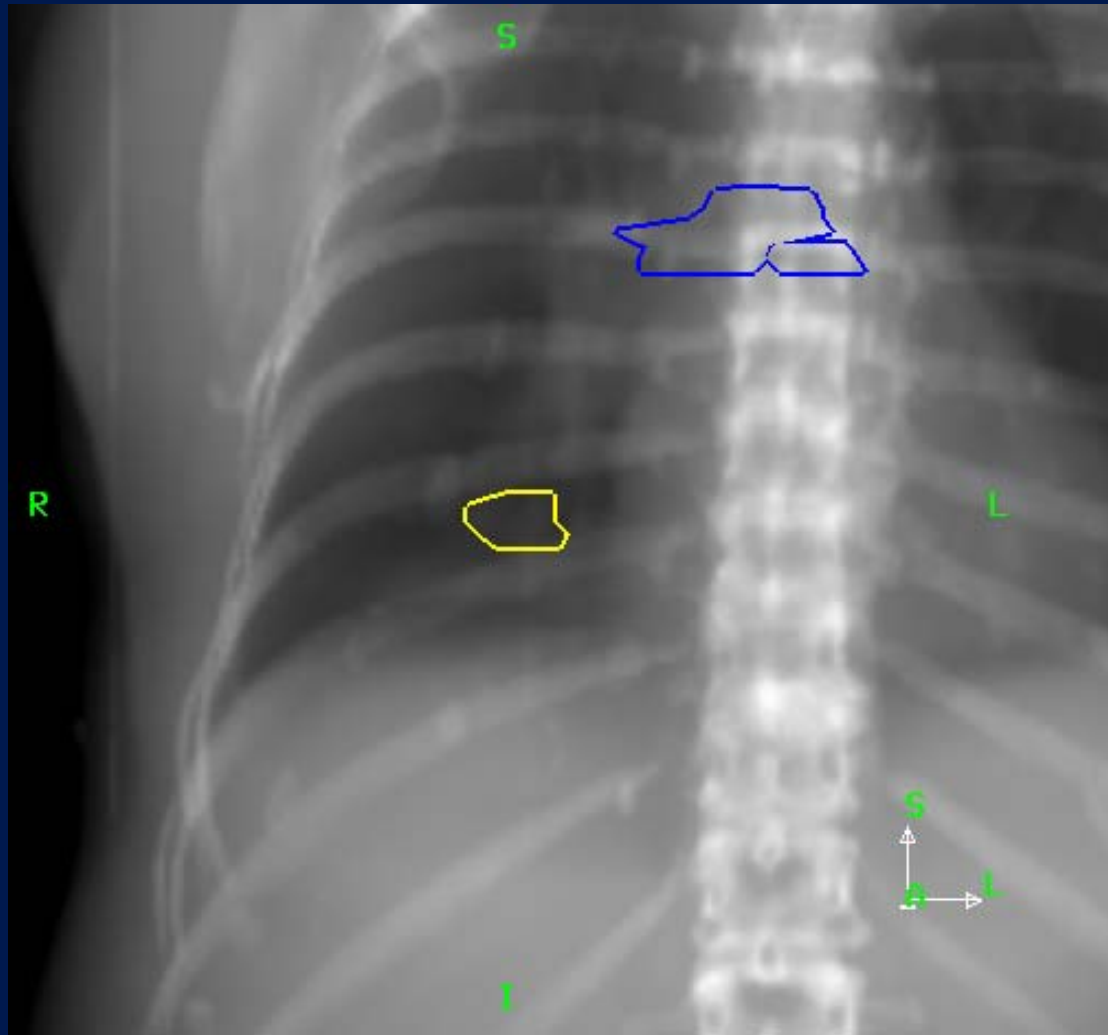
# Target Delineation

<i>Institution</i>	<i>ITV</i>	<i>CTV</i>	<i>PTV</i>
Beaumont	$GTV_1 \cup GTV_2 \cup \dots GTV_{10}$	ITV + 5 mm	CTV + 5 mm (IGRT) CTV + 10 mm (no IGRT)
MSKCC	$GTV_1 \cup GTV_2 \cup \dots GTV_{10}$	ITV + 0-2 mm	CTV + 5 mm (IGRT) CTV + 10 mm (no IGRT)
UT Southwestern	GTV from MIP	ITV + 5-10 mm	CTV + 4 mm (IGRT) CTV + 5-10 mm (no IGRT)
Washington U	GTV from MIP	ITV	CTV + 5 mm (IGRT) CTV + 7 mm (no IGRT)

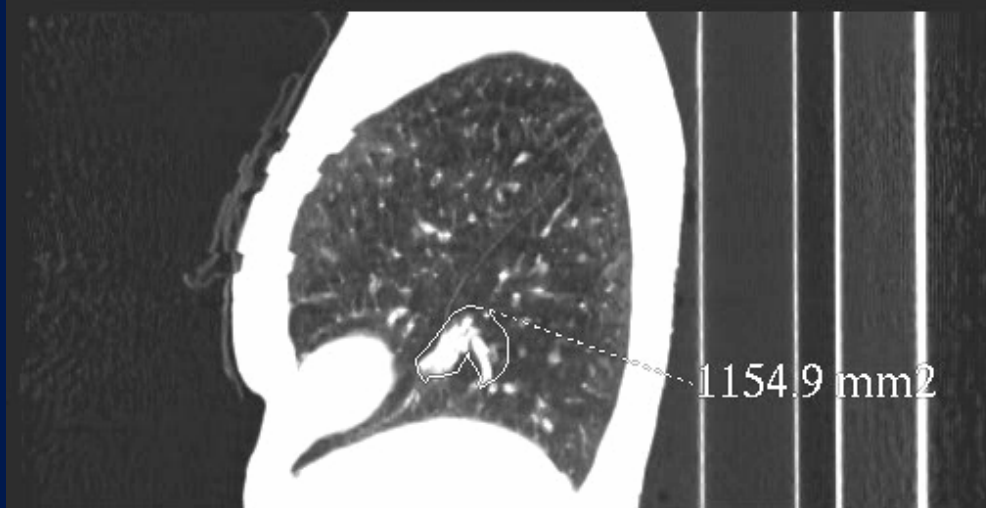
# Determining the GTV



# Determining the GTV

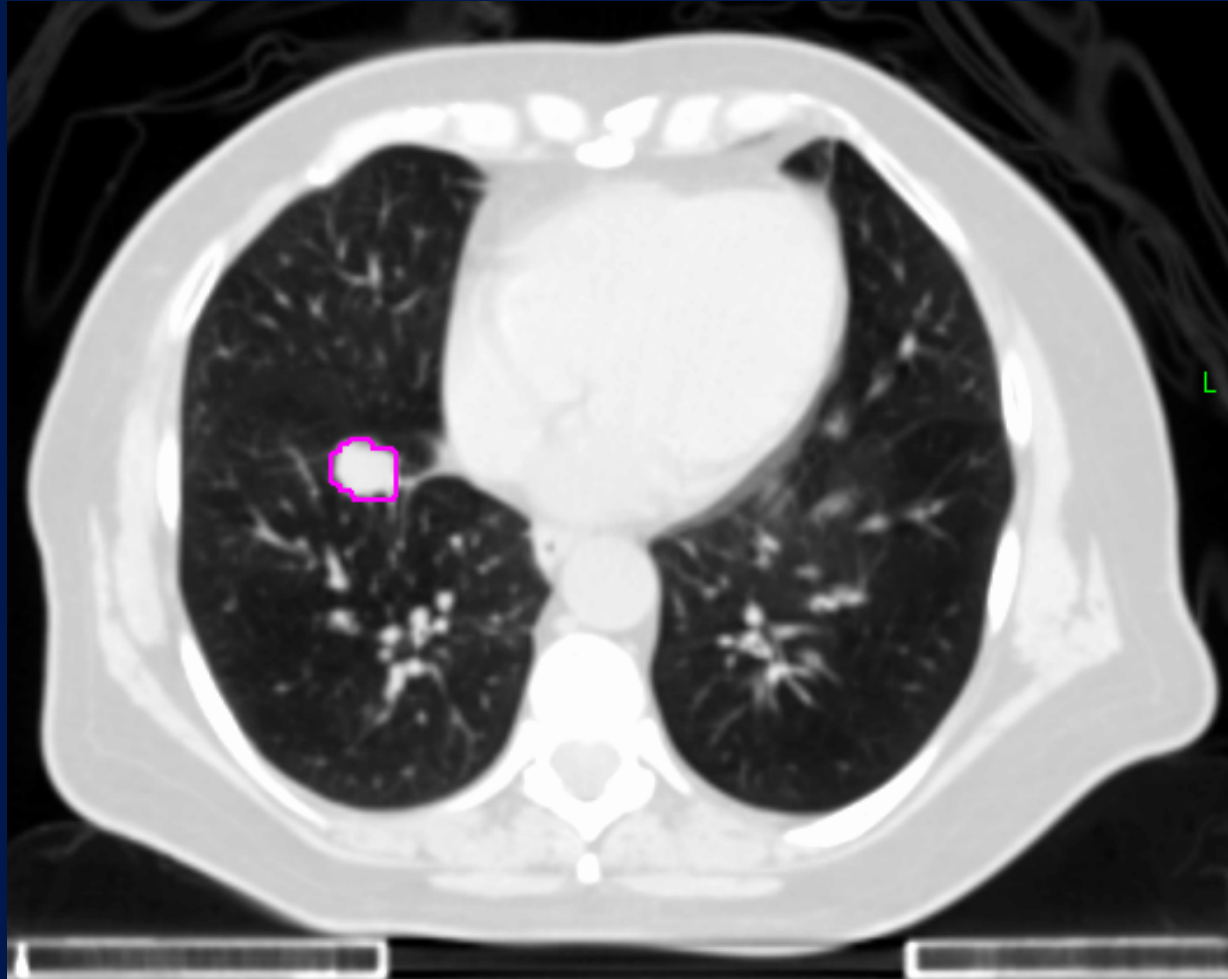






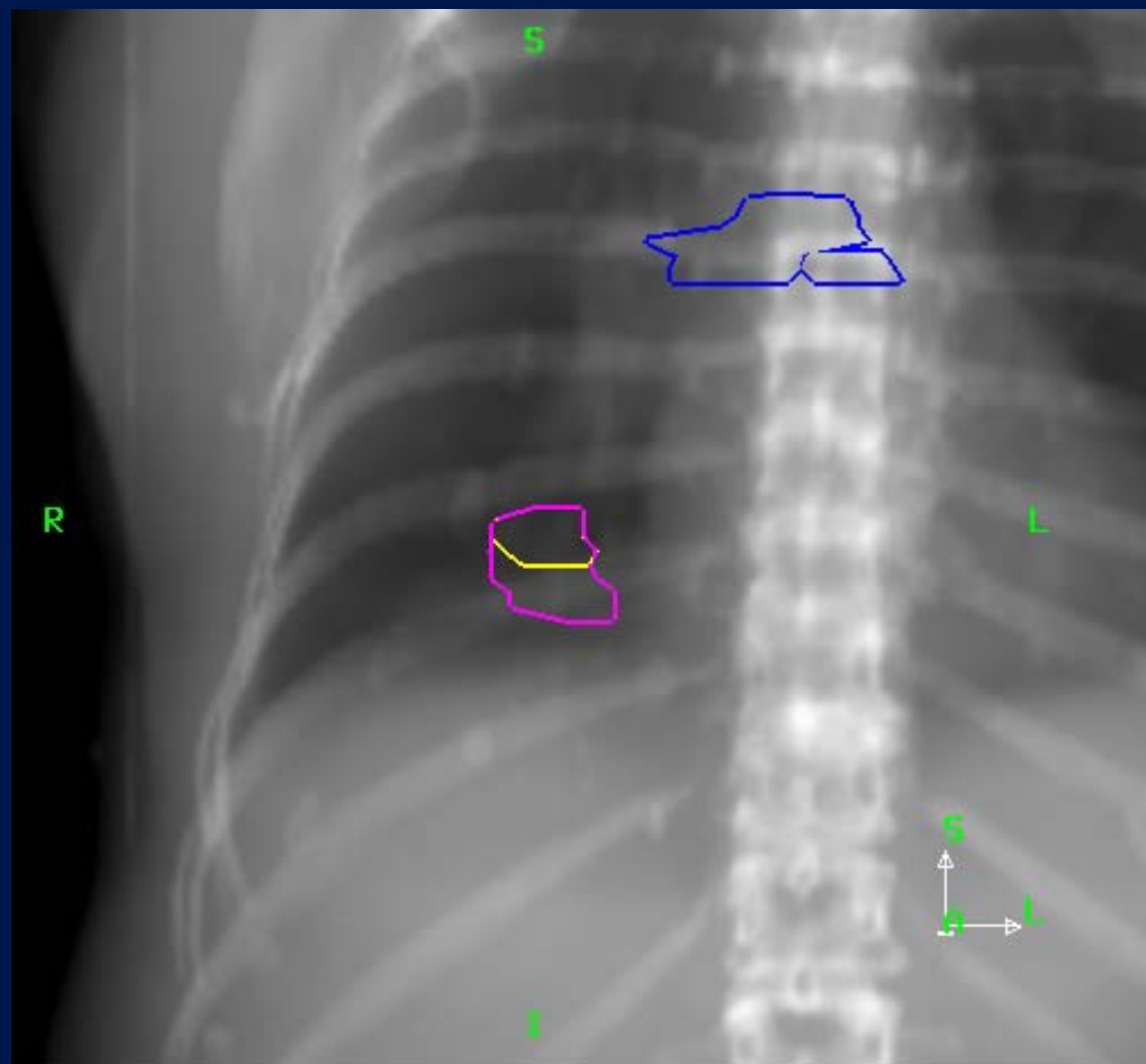
WW: 1000WL: -700

# Determining the ITV

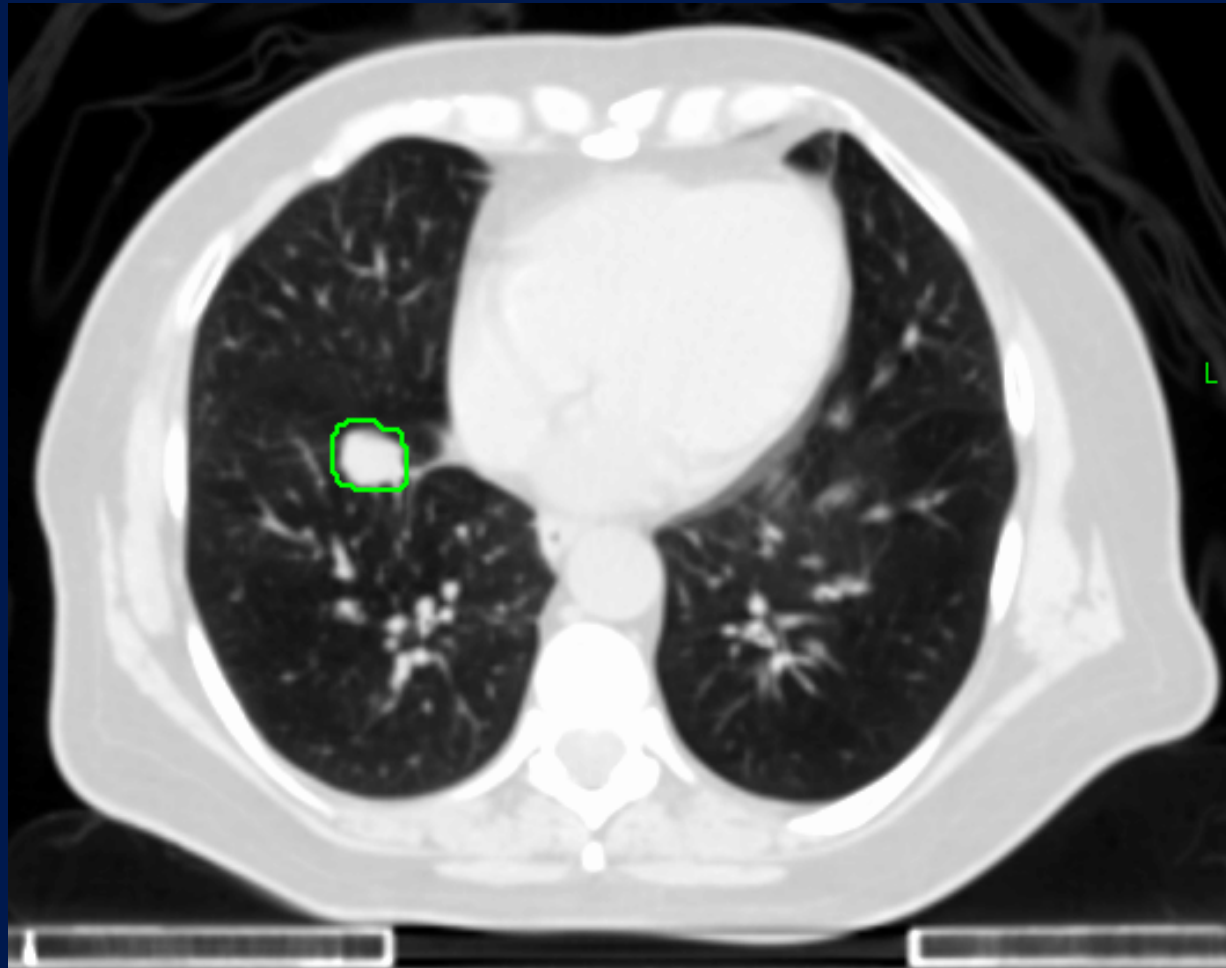




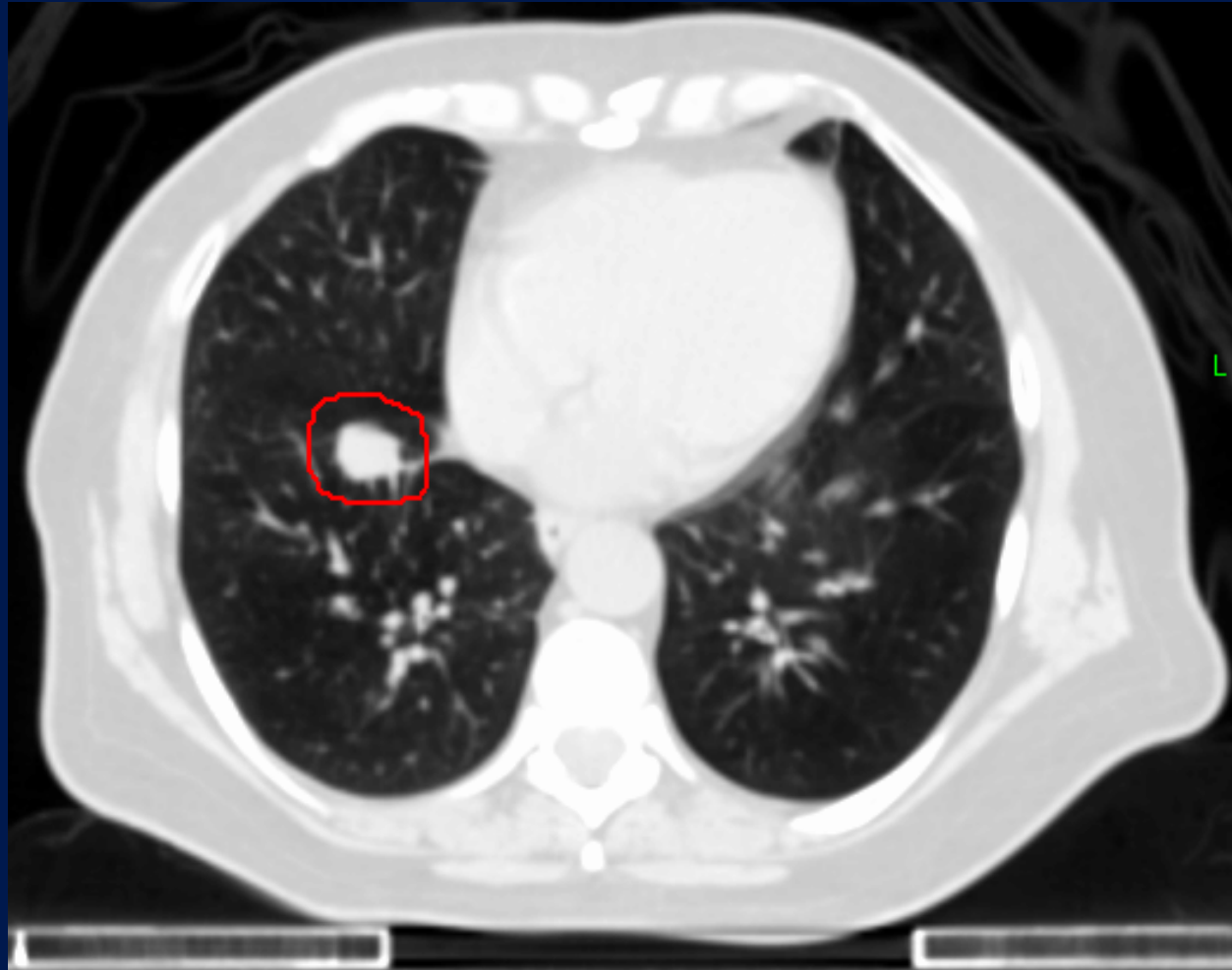
# Determining the ITV



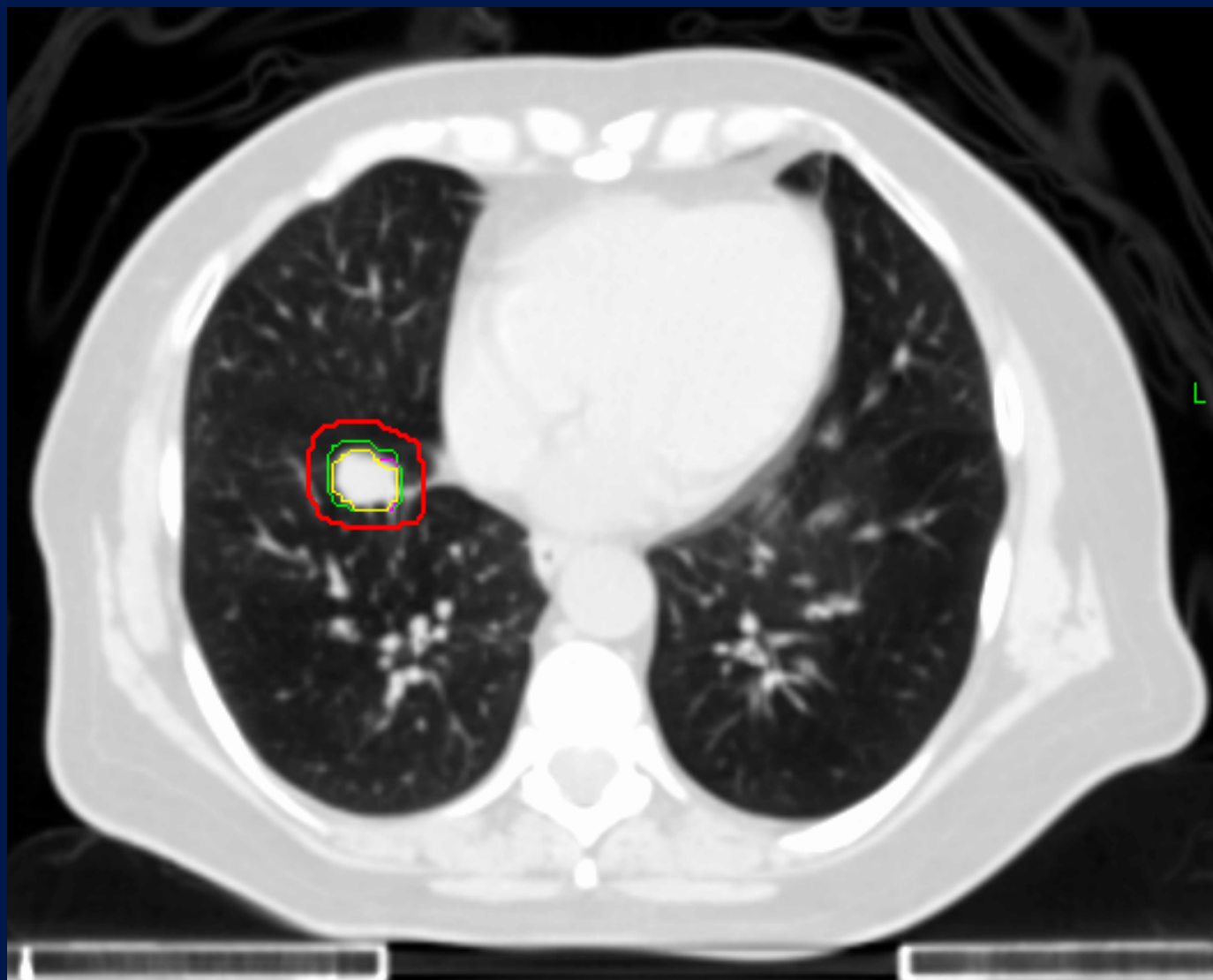
# Determining the CTV



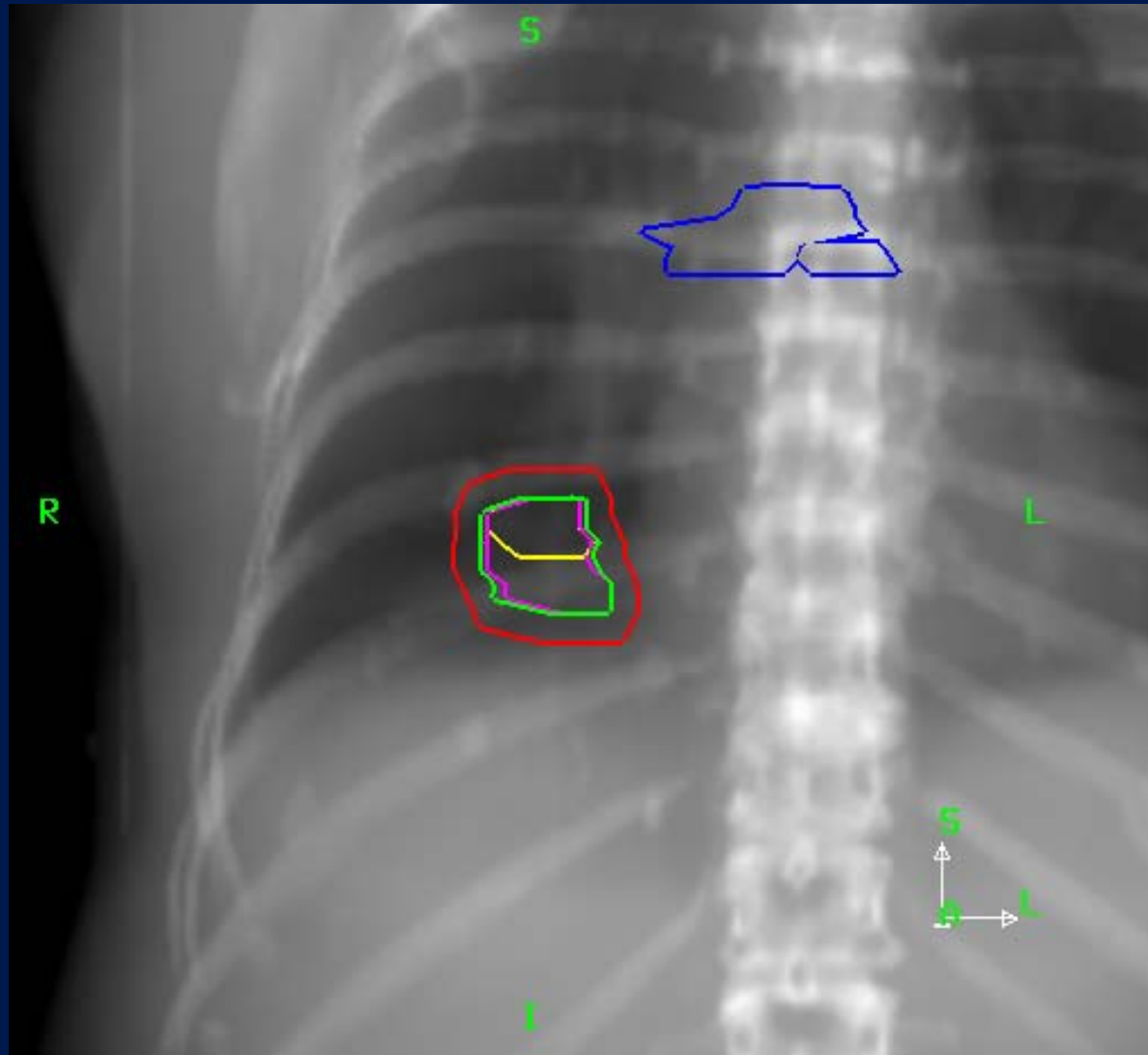
# Determining the PTV



# All Tumor Volumes



# All Tumor Volumes



# Normal Structure Constraints – SBRT

<i>Institution</i>	<i>Lungs</i>	<i>Esophagus</i>	<i>Spinal Cord</i>
Beaumont	4 fractions: $V_{20} \leq 10\%$ $V_{12.5} \leq 15\%$	4 fractions: $D_{\text{mean}} \leq 30.5 \text{ Gy}$	4 fractions: cord+3 mm $D_{\text{max}} \leq 20.5 \text{ Gy}$
MSKCC	3 fractions: both lungs $V_{20} < 12\%$ ipsi lung $V_{20} < 25\%$	3 fractions: $D_{\text{max}} \leq 30 \text{ Gy}$	3 fractions: $D_{\text{max}} \leq 24 \text{ Gy}$
UT Southwestern	3 fractions: $D_{1000\text{cc}} < 12.4 \text{ Gy}$ $D_{1500\text{cc}} < 11.6 \text{ Gy}$ $V_{20} < 15\%?$	3 fractions: $D_{\text{max}} < 25.2 \text{ Gy}$ $D_{5\text{cc}} < 17.7 \text{ Gy}$	3 fractions: $D_{\text{max}} < 21.9 \text{ Gy}$ $D_{0.35\text{cc}} < 18.0 \text{ Gy}$ $D_{1.2\text{cc}} < 12.3 \text{ Gy}$
Washington U	3 fractions: $D_{1000\text{cc}} < 12.4 \text{ Gy}$ $D_{1500\text{cc}} < 11.6 \text{ Gy}$ $V_{20} < 15\%?$	3 fractions: $D_{\text{max}} \leq 27 \text{ Gy}$	3 fractions: $D_{\text{max}} \leq 18 \text{ Gy}$

# Normal Structure Constraints – SBRT

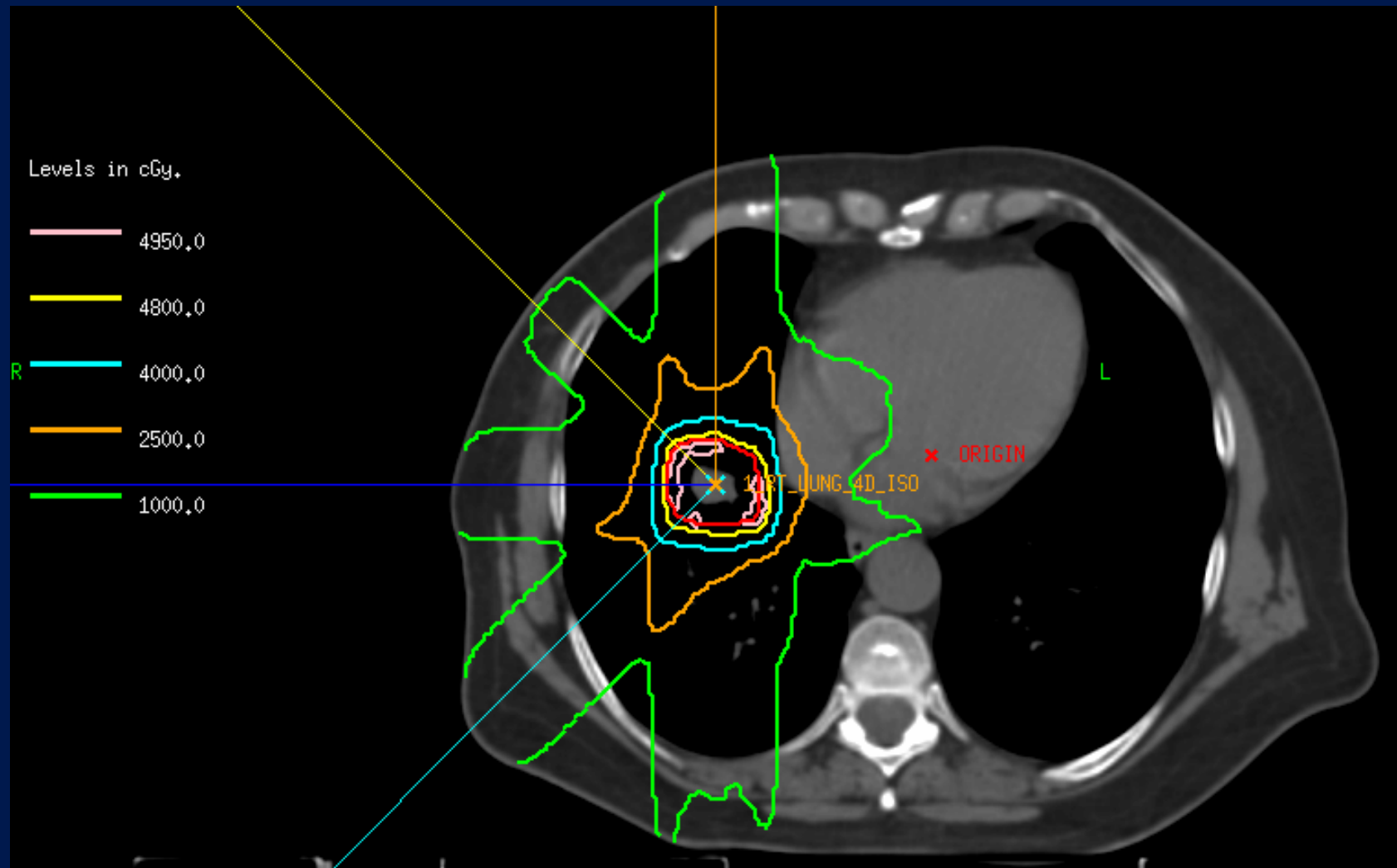
<i>Institution</i>	<i>Proximal Bronchial Tree</i>	<i>Heart</i>	<i>Great Vessels</i>	<i>Brachial Plexus</i>
Beaumont	4 fractions: $D_{\max} \leq 34 \text{ Gy}$	4 fractions: $D_{\max} \leq 36 \text{ Gy}$	4 fractions: $D_{\max} \leq 36 \text{ Gy}$	4 fractions: $D_{\max} \leq 27.2 \text{ Gy}$
MSKCC	3 fractions: $D_{\max} \leq 30 \text{ Gy}$	none	none	3 fractions: $D_{\max} \leq 27 \text{ Gy}$
UT Southwestern	3 fractions: $D_{\max} < 30 \text{ Gy}$ $D_{4\text{cc}} < 15 \text{ Gy}$	3 fractions: $D_{\max} < 30 \text{ Gy}$ $D_{15\text{cc}} < 24 \text{ Gy}$	3 fractions: $D_{\max} < 45 \text{ Gy}$ $D_{10\text{cc}} < 39 \text{ Gy}$	3 fractions: $D_{\max} < 24 \text{ Gy}$ $D_{3\text{cc}} < 20.4 \text{ Gy}$
Washington U	3 fractions: $D_{\max} \leq 30 \text{ Gy}$	3 fractions: $D_{\max} \leq 30 \text{ Gy}$	none	3 fractions: $D_{\max} \leq 24 \text{ Gy}$

# Target Dose for NSCLC SBRT

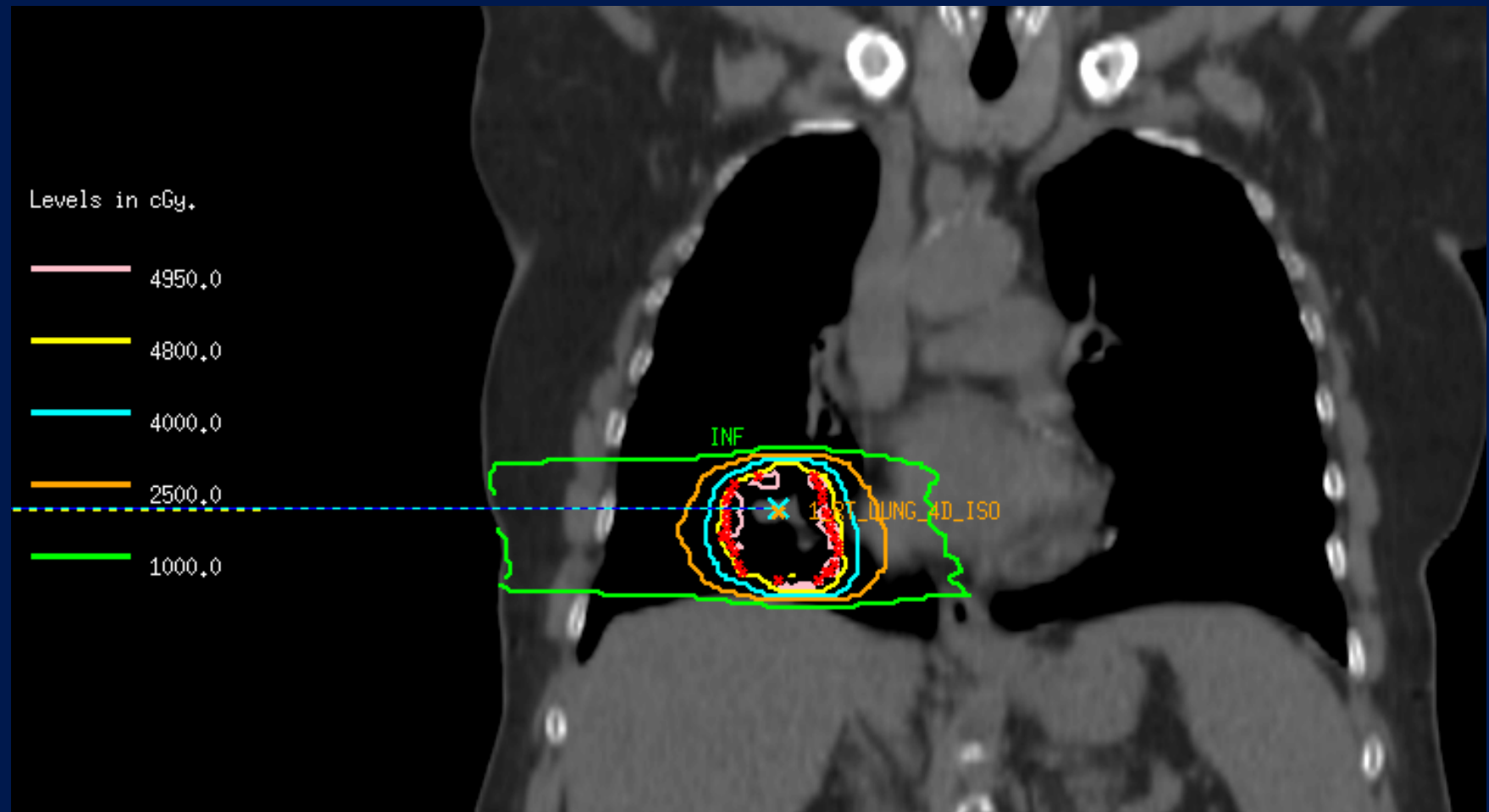
<i>Institution</i>	<i>PTV</i>	<i>Prescription</i>
Beaumont	peripheral $\leq 3$ cm: $12 \text{ Gy} \times 4 = 48 \text{ Gy}$ peripheral $> 3$ cm: $12 \text{ Gy} \times 5 = 60 \text{ Gy}$ central: $10 \text{ Gy} \times 5 = 50 \text{ Gy}$	PTV D <sub>95</sub> $\geq 100\%$ Rx dose
MSKCC	peripheral: $18\text{-}20 \text{ Gy} \times 3 = 54\text{-}60 \text{ Gy}$ central: $9 \text{ Gy} \times 5 = 45 \text{ Gy}$	PTV D <sub>95</sub> $\geq 100\%$ Rx dose
UT Southwestern	peripheral: $18 \text{ Gy} \times 3 = 54 \text{ Gy}$ chest wall: $12 \text{ Gy} \times 5 = 60 \text{ Gy}$ central: $10 \text{ Gy} \times 5 = 50 \text{ Gy}$	PTV D <sub>95</sub> $\geq 100\%$ Rx dose PTV D <sub>100</sub> $\geq 90\%$ Rx dose
Washington U	peripheral: $18 \text{ Gy} \times 3 = 54 \text{ Gy}$ central: $10 \text{ Gy} \times 5 = 50 \text{ Gy}$	PTV D <sub>95</sub> $\geq 100\%$ Rx dose



# Treatment Plan



# Treatment Plan



# Verifying Patient Position

Varian Medical Systems

3D / 3D Match

Transversal - TP - ImageUShort472 - 12/3/2009 - 1:58 PM

Transversal - TP

Head First-Supine  
Z: 0.08 cm

Z: 0.08 cm

Frontal - TP - ImageUShort472 - 12/3/2009 - 1:58 PM

Frontal - TP

Y: 35.73 cm

Sagittal - TP - ImageUShort472 - 12/3/2009 - 1:58 PM

Sagittal - TP

X: 26.89 cm

Couch Shift (VAR\_IEC Scale)

	TARGET	ACTUAL	SHIFT		TARGET	ACTUAL	SHIFT	
Couch Vrt	14.9	14.9	0.0	<input checked="" type="checkbox"/> Include	Couch Lat	8.0	+8.0	0.0 <input checked="" type="checkbox"/> Include
Couch Lng	122.8	122.8	0.0	<input checked="" type="checkbox"/> Include	Couch Rtn	0.2	0.2	0.0 <input checked="" type="checkbox"/> Include

All units in cm and degrees

Perform the anatomy match

1. Acquire 2. Analyze Cancel

Start Varian Medical Syste... 2:07 PM

# Toxicity of SBRT

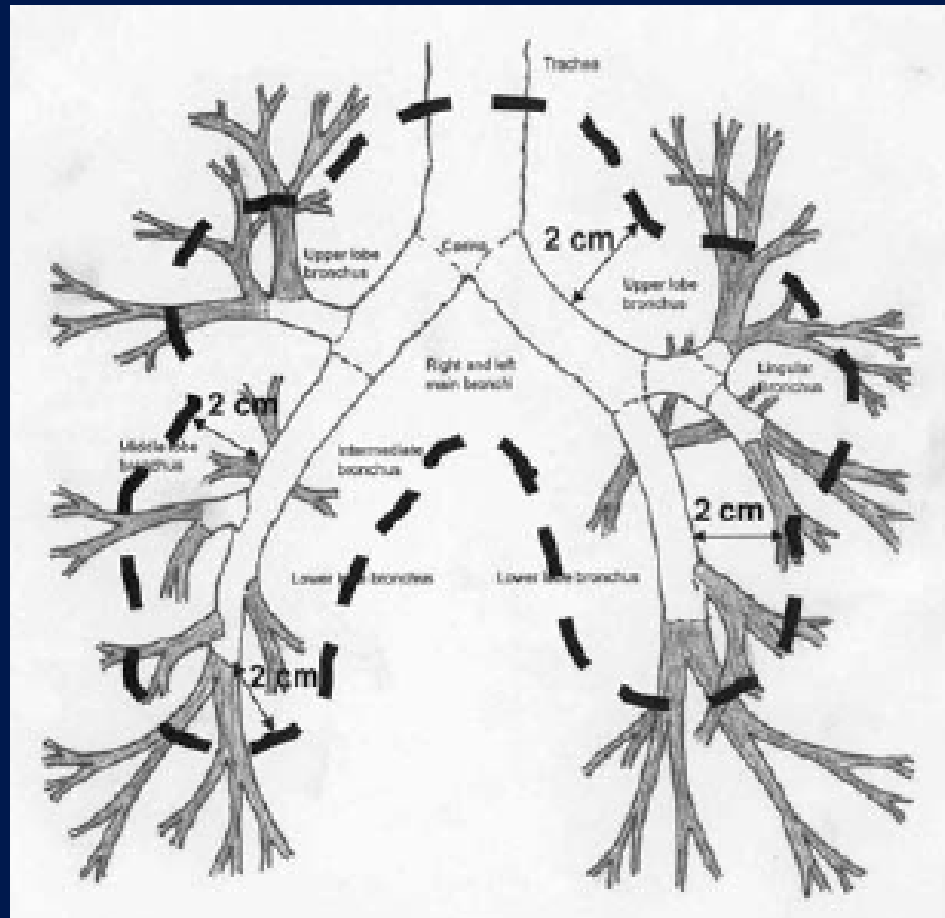
- Fatigue
- Skin reaction
- Pneumonitis
- Pain

# Toxicity of Lung SBRT

- Timmerman, et al. *JCO* 2006
- 70 pts with Stage I NSCLC in a Phase II protocol
- 20 Gy x 3 or 22 Gy x 3
- Median overall survival 33 months, 2 yr OS 55%
- 14 patients had Grade 3 to 5 toxicity
  - 8 Grade 3/4 - ↓PFT's, effusion, pneumonia
  - 6 toxic deaths – pneumonia, pericardial effusion, hemoptysis
  - Central tumors more likely to have toxicity

# Limitations of SRS in the Lung

## “No Fly Zone”

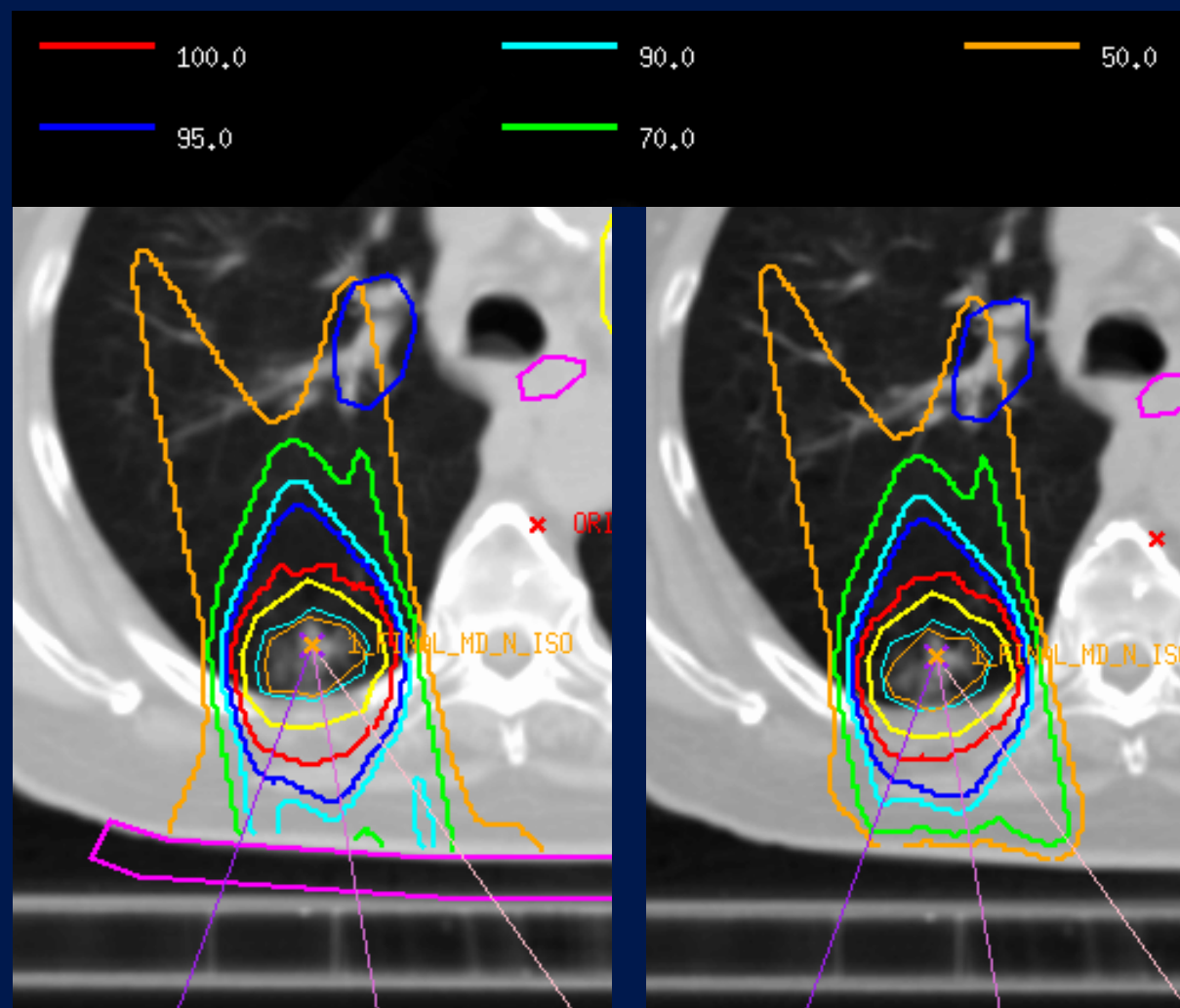








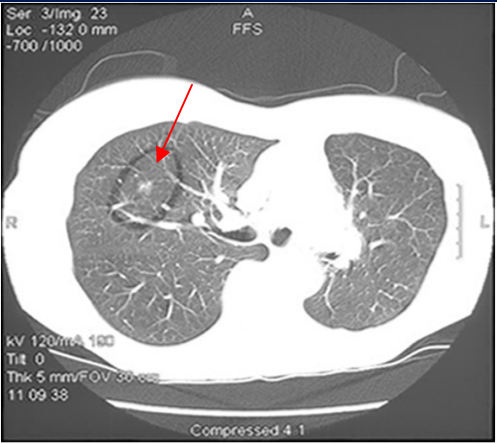




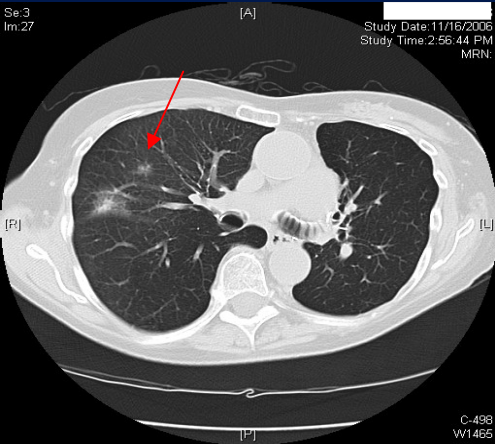
# Changes in Technique to Limit Skin Toxicity

- Use of Alpha cradle to allow lateralized beams
- Use more than 3 beams to prevent overlap
- Evaluate skin as an organ at risk

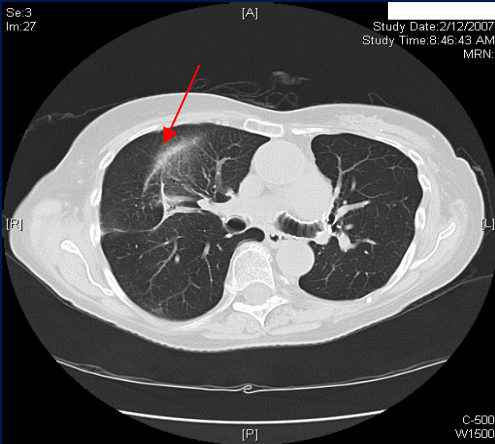




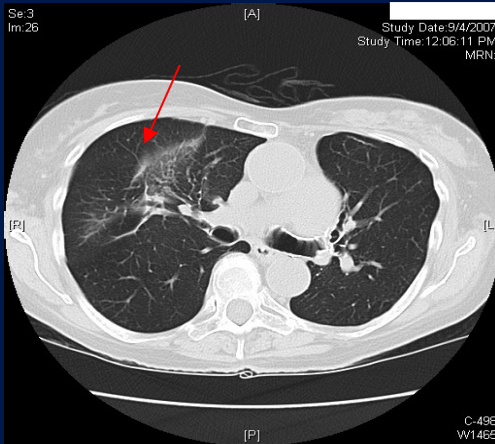
0 months



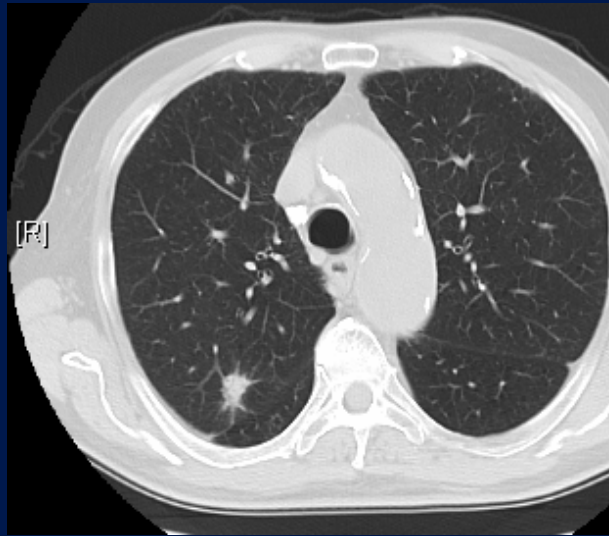
3 months



6 months



15 months



Pre-treatment

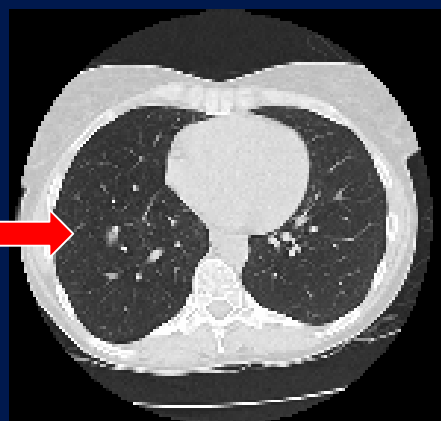


3 month



6 month

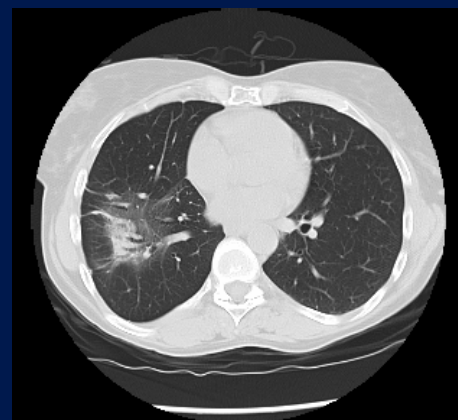




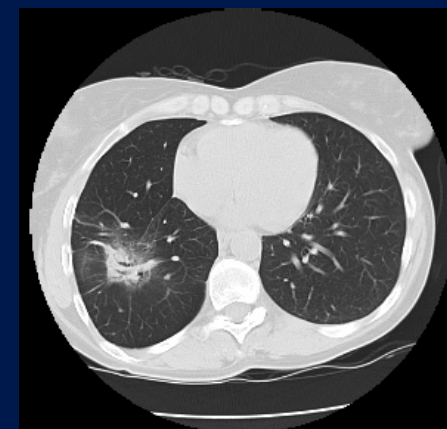
Pre-treatment



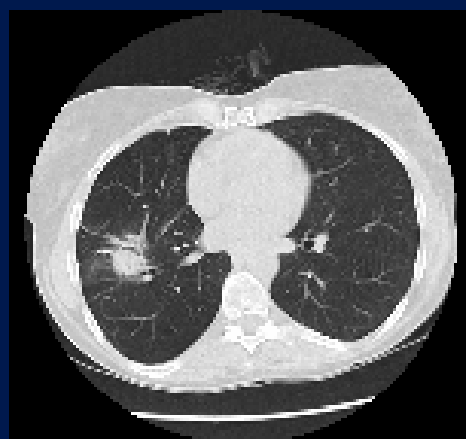
3 month



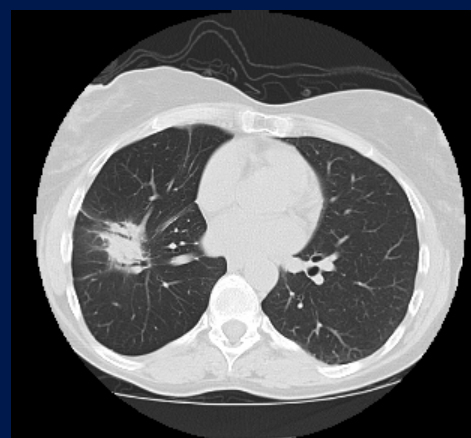
6 month



9 month



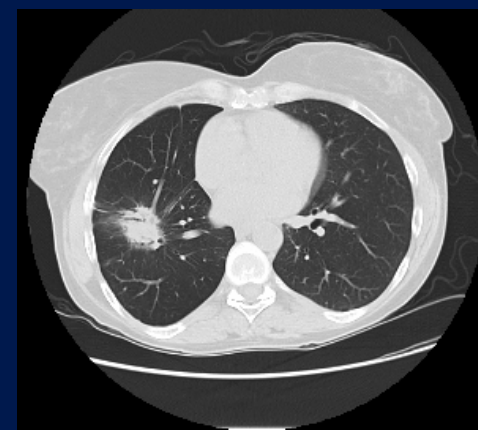
12 month



16 month



20 month



24 month

# Differences between centers

- Use of 3D-CRT or IMRT
- Variable use of inhomogeneity corrections
- Use of more beams (8 – 10 in many protocols)
- Image guidance not always used
- Tumor motion not evaluated
- Variability in tumor margins

# Why do all techniques work?

- The use of multiple beams and high doses is causing a “haze” of moderate dose radiation (~15 Gy per fraction) that is adequate to kill subclinical disease and account for tumor motion



# SBRT – Future Directions

- Standardize CTV, PTV, inhomogeneity corrections, tumor motion control
- Identify best dose
  - Might need to dose **de-escalate**
- Figure out how to treat central tumors
  - Some centers (VU, Wash U.) have been reporting safe early experience with 7.5 – 10 Gy per fraction
  - RTOG 0813 to address this (currently at 10.5 Gy/fx)
- Test head to head against surgery
  - Japanese are doing this

# Future in Early Stage

- Current RTOG protocol in operable patients
  - RTOG 0618 closed May 2010
- Future research needs:
  - Longer term results
  - Better ways to assess response
  - ? Need for a randomized trial vs. standard RT

# Future Directions in Toxicity

- For the first time there is a possibility for long-term follow-up in a lung cancer population treated with RT
- Allows for better analysis for the causes of second tumors, specific toxicities (lung fibrosis), etc.

